



B791

Research Issues PhD Seminar Organizational Theory Fall 2018 Course Outline Human Resources and Management Area DeGroote School of Business McMaster University

COURSE OBJECTIVE

This seminar course provides an introduction to the core and emerging issues in organizational behaviour research. The objective of this course is to introduce graduate students to fundamental questions and theoretical approaches in the study of organizations. The readings are organized historically to capture the intellectual development of organizational theory and various shifts in emphasis: from workers to managers, from organizational processes to outputs, from studies of single organizations in their environments to studies of organizational populations and fields. The objectives of this course are to (1) provide students with an overview of selected theories in OB (focusing on Organization Theory), and (2) to develop students' abilities to critically assess and conduct research on OB and OT related topics.

This course will be conducted as a seminar. There will be six three-hour meetings. This format allows students to:

- 1. Test their understanding of theories and concepts presented in the readings.
- 2. Develop skills in communicating ideas, in developing and presenting arguments, in listening to and understanding others, and in challenging others' views in a way that advances everyone's understanding.
- 3. Learn to think independently and critically: you will need to be able to analyze the theoretical and methodological contributions and deficiencies of the articles that are being discussed. These skills will be useful to you when you conduct your own independent research.

In this course, the role of the professor will be to stimulate and guide student discussion. I will ask questions and encourage you to present, and support, different points of view in discussion.

INSTRUCTOR AND CONTACT INFORMATION

Dr. Benson Honig

Professor bhonig@mcmaster.ca Office: DSB/406 Office Hours: by appointment by appointment Tel: (905) 525-9140 x23943

EVALUATION

There are three graded components to this part of the course. Students' grades will be calculated as follows:

Weekly Summaries	24%
Final Paper	60%
One individual class presentation	6%
Final Paper	60%
Participation	10%
Total	100%

Conversion

At the end of the course your overall percentage grade will be converted to your letter grade in accordance with the following conversion scheme.

LETTER GRADE	PERCENT
A+	90 - 100
Α	85 - 89
A-	80 - 84
B+	75 - 79
В	70 - 74
B-	65 - 69
F	00 - 64

Four One-Page Reports each week (4 articles x 1% x 6 weeks= 24%)

Each week, there are various chapters and empirical articles. I would like you to summarize four articles each week, those with the asterisk (*) indicating they are for summary. In addition, you will be required to read the additional chapters and short articles assigned. Each week, one student will also be asked to summarize at least one of the empirical, methodological, or theoretical **non-asterisked** papers presented each week and lead a discussion of that article. One student will also lead a discussion of one of the **asterisked articles** (so, you will lead four discussions for the term, two on an asterisked article, and two on a non-asterisked article).

For the summaries, please write a one page (single spaced, 1" margins, 12-point Times New Roman font, name and student number can go in a header) summary of each article/chapter, where you answer the following questions: (1) what is the article saying? (2) what do I agree with? (3) what do I disagree with? (4) what else should the author(s) have included? (5) what is my overall assessment?

All work must be completed independently. Each week's article summaries should be submitted to me **via email on the Friday before our Monday class**, according to the order of the class schedule. Late assignments will not be accepted.

Final Project (60%)

Your paper (double spaced, 1" margins, 12-point Times New Roman font, title page with name and student number, maximum 40 pages including references) will consist of a research proposal for an interesting topic focusing on organization theory. The paper will review the existing literature, provide theoretical justifications for the hypotheses, and describe how these hypotheses would be tested. That is, students will be writing a standard research article except that the data will not have yet been collected (therefore there will be no results or discussion section).

I am flexible about your choice of topics (e.g., you might like to choose something related to your dissertation), but you should discuss your choice with me before beginning your project. You are not required to choose a topic that we have discussed in class, although I expect you to choose a macro- or meso-level topic that incorporates at least one of the theoretical paradigms introduced in this course.

This paper is due exactly two weeks after our final class meeting. No extensions are available except under extraordinary circumstances.

Participation (10%)

All students are expected to demonstrate their understanding of the course material, verbally, in class. Generally, you should err on the side of participating more than you would ordinarily. Your comments and questions are welcome, will not be judged, and help to make the entire course more interesting and enjoyable for everyone. There is no penalty for being "wrong" but there is a (small) penalty for being silent.

Professional academic demeanour is mandatory at all times. Academic discourse requires that opinions be expressed honestly, but professionalism requires that these opinions also be expressed respectfully. Behaviours or comments that would be inappropriate in a conference or classroom setting are also inappropriate in our seminar.

If you are wondering if your participation is adequate, send me an email or come to my office and I will provide an estimate of your anticipated participation grade for the semester.

For each class, you should read all the assigned book chapters and articles. If you are not already familiar with the topics being covered, then you may find it useful to read an introductory textbook in organizational behaviour. I can lend you an OB textbook if you do not already have one.

ACADEMIC DISHONESTY

It is the student's responsibility to understand what constitutes academic dishonesty. Please refer to the University Senate Academic Integrity Policy at the following URL:

http://www.mcmaster.ca/univsec/policy/AcademicIntegrity.pdf

This policy describes the responsibilities, procedures, and guidelines for students and faculty should a case of academic dishonesty arise. Academic dishonesty is defined as to knowingly act or fail to act in a way that results or could result in unearned academic credit or advantage. Please refer to the policy for a list of examples. The policy also provides faculty with procedures to follow in cases of academic dishonesty as well as general guidelines for penalties. For further information related to the policy, please refer to the Office of Academic Integrity at:

http://www.mcmaster.ca/academicintegrity

POTENTIAL MODIFICATIONS TO THE COURSE

The instructor and university reserve the right to modify elements of the course during the term. The university may change the dates and deadlines for any or all courses in extreme circumstances. If either type of modification becomes necessary, reasonable notice and communication with the students will be given with explanation and the opportunity to comment on changes. It is the responsibility of the student to check their McMaster email and course websites weekly during the term and to note any changes.

MISSED WORK

Late assignments will not be accepted. No extensions are available except under extraordinary circumstances. Please discuss any extenuating situation with your instructor at the earliest possible opportunity.

READING LIST	
SESSION AND TOPIC	ARTICLES
Week 1 Classics in	Chapters
organization and	
management theory: Post 1950's	Luker, K. (2008). What's it all about? In Kristen Luker, Salsa Dancing in the Social Sciences, Research in an age of Info-Glut. Boston,
	Harvard Univ. Press, pp22-39.
	Scott & Davis (2007) Organizations: Rational, natural, and open systems? Chapters. 1-5, pp. 1-123.
	*Steinbruner, J. 1974. cybernetic theory of decision making. Princeton Univ. Press. Chapter3 The Cybernetic Paradigm. Pp. 47-87
	*Cyert, R. M., & March, J. G. 1992 (Originally published 1963). <i>A behavioural theory of the firm</i> . Oxford: Blackwell publishers. Chapters 2-3.
	Weick, K. E. (1995). What theory is not, theorizing is. <i>Administrative Science Quarterly</i> , 385-390.
	*Sewell Jr., William H. 1992. "A Theory of Structure: Duality, Agency, and Transformation." American Journal of Sociology 98: 1-29.
	Cyert, R, Feigenbaurm, E. March, J. 1959. Models in a Behavioral Theory of the Firm, Behavioral Science, vol. 4, no 2.
	*March, J. 1977. Bounded rationality, ambiguity, and the engineering of choice. Bell Journal of Economics, Vol. 9 no.2.
Week 2	Chapters
Social construction and organizational change.	Weick (1995) Sensemaking in Organizations, Thousand Oaks, CA: Sage, Chapters 1&2, pp. 1-62
Ethics in Management Scholarship	*Weick (1993) "The Collapse of Sensemaking in Organizations: The Mann Gulch Disaster," ASQ, 38: 628-652.
	*Barley (1986) Technology as an occasion for structuring,? ASQ, 31: 78-108.
	Weick, Sutcliffe & Obstfeld (2005) ?Organizing and the Process of Sensemaking,? Org. Sci. 16, 4: 409-421.
	Podcast

http://www.npr.org/programs/invisibilia/484359511/frame-of-reference

Organizational Change

*Powell, Walter W. 1990. Neither Market Nor Hierarchy: Network Forms of Organization." Research in Organizational Behavior 12: 295-336.

*Hargadon, A., & Douglass, Y. 2001. When innovations meet institutions: Edison and the design of the electric light. *Administrative Science Quarterly*, 46 (3): 476-501.

Ethics in Management Scholarship

Honig, B; Lampel, J; Siegal, D;Drnevich, P. (2017) Ethics in management research: norms, identity, and community in the 21st century Academy of Management Learning and Education Vol. 16, No. 1, 84–93.

Schminke, M., & Ambrose, M. (2014). Retraction statement for 'Ethics and Integrity of the Publishing Process: Myths, Facts, and a Roadmap'by Marshall Schminke and Maureen L. Ambrose. *Management and Organization Review*, *10*(1), 157-162.

Schminke, M. 2009. Editor's comments: The better angels of our nature – Ethics and integrity in publishing process. *Academy of Management Review*, 34(4): 586–591.

Schminke, M., & Ambrose, M. L. 2011. Ethics and integrity in the publishing process: Myths, facts, and a roadmap. Management and Organization Review, 7(3): 397–406. (Retracted) (above two articles can be found here): twww.iacmr.org/v2en/Publications/MOR/Schminke_AMR_2009.pdf www.iacmr.org/v2en/Publications/MOR/SchminkeAmbrose_MOR_2011.pdf

Week3: Institutional Theory

Battilana, J., & Dorado, S. 2010 Building sustainable hybrid organizations: The case of commercial microfinance organizations. Academy of Management Journal, 53(6), 1419-1440

Greenwood, R., Raynard, M., Kodieh, F., Micelotta, E.R. & Lounsbury, M. 2011. Institutional Complexity and Organizational Responses. Academy of Management Annals, 5: 317-371.(40 pp)

Mizruchi, M.S., Fein, L.C., 1999. The social construction of organizational knowledge: a study of the uses of coercive, mimetic and normative isomorphism. Administrative Science Quarterly. 44, 653-683.

	*DiMaggio, P., & Powell, W. 1983. The iron cage revisited: Institutional isomorphism and collective rationality in organizational fields. <i>American Sociological Review</i> , 48, April: 147-160. *Meyer, J., & Rowan, B. 1977. Institutionalized organizations: Formal structure as myth and ceremony. <i>American Journal of Sociology</i> , 83: 340-363. *Oliver, C. 1991. Strategic responses to institutional processes. Academy of Management Review 16 (1), 145-179.
	*Honig, B, Karlsson, T. (2004). Institutional forces and the written business plan. <i>Journal of Management</i> 30(1) 29-48
Week 4 Contingency theory and Legitimacy.	Chapters Scott, Organizations as open systems. Chapter 4 Lawrence, P.R., & Lorsch, J.W. 1967. Differentiation and integration in
	complex organizations. Administrative Science Quarterly, 12:1-47.
	*Schoonhoven, C.B. 1981. Problems with contingency theory: Testing assumptions hidden within the language of contingency theory. Administrative Science Quarterly, 349-377.
	LEGITIMACY
	Abrahamson, E, Fairchild, G. 1999. Management fashion: Lifecycles triggers and collective learning processes. Administrative Science Quarterly, vol44:no4pp 708-740.
	Suchman, M.C. 1995. Managing legitimacy: Strategic and institutional approaches. <i>Academy of Management Review</i> , 20:571-610.
	Suddaby, R. and R. Greenwood. 2005. Rhetorical strategies of legitimacy. <i>Administrative Science Quarterly</i> . 50 (March):35-67
	*Drori, I and Honig, B (2013) A Process Model of Internal and External Legitimacy. <i>Organization Studies</i> . 34: 345-376
	*Aldrich, H & Fiol, M .1994. Fools rush in? The institutional context of industry creation. <i>Academy of Management Review</i> , 19:645-670
	*Zimmerman, M.A., Zeitz, G.J., 2002. Beyond Survival: Achieving New Venture Growth by Building Legitimacy. Academy of Management Review 27 (3), 414-431.
Week 5:	Chapters
Population Ecology	Aldrich & Ruef (2006) "Organizations Evolving," Thousand Oaks, CA: Sage, chapters 2-3, pp 200

Baum & Shipilov (2006) Ecological Approaches to Organizations in Clegg et al (eds) Handbook of Org Studies. Sage: 55-109.

Dobrev, S.D., Kim, T.Y., & Carroll, G.R. 2003. Shifting gears, shifting niches: Organizational inertia and change in the evolution of the U.S. automobile industry, 1885-1981. Organization Science, 14: 264-282.

*Hannan & Freeman (1977) "The Population Ecology of Organizations," American Journal of Sociology, 82, 5: 929-964.

*Mezias, S.J. & Boyle, E. 2005. Blind Trust: Market Control, Legal Environments, and the Dynamics of Competitive Intensity in the Early American Film Industry, 1893–1920. Administrative Science Quarterly, 50(1): 1-34.

*Carroll. G. and Swaminathan, A. 2000. "Why the Microbrewery Movement? Organizational Dynamics of Resource Partitioning in the US Brewing Industry." American Journal of Sociology, 106:715-762

*Sorensen, J. "The Ecology of Organizational Demography: Managerial Tenure Distributions and Organizational Competition." *Industrial and Corporate Change* 8 (1999): 713-744.

Week 6: Trust, Social Capital,

Burt, Ronald S. 1992. Structural Holes: The Social Structure of Competition. Cambridge MA: Harvard University Press. Pages 1-49

Gulati, Ranjay and Martin Gargiulo. 1999. "Where Do Interorganizational Networks Come From?" The American Journal of Sociology 104:1439 - 1493.

*Granovetter, M. 1973. The Strength of Weak Ties The American Journal of Sociology, Vol. 78, No. 6. pp. 1360-1380

*Nahapiet, J., Ghoshal, S., 1998. Social capital, intellectual capital, and the organizational advantage. *Academy of Management Review* 23(2):242-266.

*Coleman, J., 1988. Social capital in the creation of human capital. *American Journal of Sociology* 94(S):S95-S120.

*Davidsson, P, Honig, B. (2003) The role of social and human capital among nascent entrepreneurs, *Journal of Business Venturing* 18(3): 301-331